

Introduction to Proving Stuff™ with Logical Relations

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November 7, 2024

- Slides with * at the end of their title were written with the help of GPT 4o (for lazy L^AT_EX'ing).
- Most things for the calculus are in line with Crole 1994.

Overview

- What do we want to prove?
- Lambda calculus (review?)
 - Types
 - Signatures
 - Syntax
 - Typing judgments
 - Denotational semantics
- Logical relations
 - Types
 - Signatures
 - Terms
 - Fundamental theorem
 - Application

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 - Security: show the output doesn't depend on secure information.

Types*

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For example, assume that we have `Int` as ground type. Then we could defined $\Sigma = (\{\underline{n} : n \in \mathbb{Z}, \}, \{\underline{+}, \underline{\times}\})$.

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Note that $\sigma(c) \in \llbracket \tau \rrbracket_\rho$ and $\sigma(f) \in \llbracket \tau_1 \times \dots \times \tau_n \rightarrow \tau \rrbracket_\rho$.

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We write $\gamma[x \mapsto v]$ to denote the extension of γ mapping x to v . E.g. for $\Gamma = x : \text{Int}, y : \text{Int}$ if $\{x \mapsto 1, y \mapsto 2\} \in \llbracket \text{Int} \times \text{Int} \rrbracket_{\rho}$ then

$$\{x \mapsto 1, y \mapsto 2\}[z \mapsto 3] := \{x \mapsto 1, y \mapsto 2, z \mapsto 3\} \in \llbracket \text{Int} \times \text{Int} \times \text{Int} \rrbracket_{\rho}$$

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- $\llbracket \Gamma \vdash \lambda(x : \alpha).M : \alpha \rightarrow \beta \rrbracket_{\rho, \sigma}(\gamma) = \lambda v. \llbracket \Gamma, x : \alpha \vdash M : \beta \rrbracket_{\rho, \sigma}(\gamma[x \mapsto v])$

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Note that $\mathcal{A}\llbracket \alpha \rrbracket_{\rho} = \llbracket \alpha \rrbracket_{\rho_{\mathcal{A}}}$.

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If we want to forget that σ preserves our predicates, we will write $\sigma_{\mathcal{A}}$.

Logical Relations Semantics for Terms in Context

Fix a fixed ρ and σ , we want to define the meaning of a lambda term. In a context $\Gamma = x_1 : \alpha_1, \dots, x_n : \alpha_n$, we want an interpretation of type

$$\llbracket \Gamma \vdash M : \alpha \rrbracket_{\rho, \sigma} : \mathcal{A}\{\alpha_1 \times \dots \times \alpha_n\}_{\rho} \rightarrow \mathcal{A}\{\alpha\}_{\rho}$$

such that for all $\gamma \in \mathcal{P}\{\alpha_1 \times \dots \times \alpha_n\}_{\rho}$ we have $\llbracket \Gamma \vdash M : \alpha \rrbracket_{\rho, \sigma}(\gamma) \in \mathcal{P}\{\alpha\}_{\rho}$. I.e., we map values satisfying our predicate to values satisfying our predicate.

How do we define this semantics?

Fundamental Theorem of Logical Relations

Recall that $\mathcal{A}\{\alpha\}_\rho = \llbracket \alpha \rrbracket_{\rho, \mathcal{A}}$. Thus, we can define

$$\{\Gamma \vdash M : \alpha\}_{\rho, \sigma} : \mathcal{A}\{\alpha_1 \times \dots \times \alpha_n\}_\rho \rightarrow \mathcal{A}\{\alpha\}_\rho$$

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Theorem

Fix ρ and σ for logical relations. For all $\gamma \in \mathcal{P}\{\alpha_1 \times \dots \times \alpha_n\}_\rho$ we have $\llbracket \Gamma \vdash M : \alpha \rrbracket_{\rho, \mathcal{A}, \sigma, \mathcal{A}}(\gamma) \in \mathcal{P}\{\alpha\}_\rho$.

Proof.

Induction on the structure of M . □

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This is known as the *Fundamental Theorem of Logical Relations*, or the *Basic Lemma of Logical Relations*. Note that we had to choose the interpretation σ of our constants and built-in functions to respect $\rho_{\mathcal{P}}$.

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- Apply the theorem! For example, for all terms $x : \text{Int} \vdash M : \text{Int}$, we have

$$n \in \mathcal{P}\{\{\text{Int}\}\}_\rho \Rightarrow \llbracket x : \text{Int} \vdash M : \text{Int} \rrbracket_{\rho, \mathcal{A}, \sigma}(n) \in \mathcal{P}\{\{\text{Int}\}\}_\rho$$

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- Can also show that all polynomials with even coefficients preserve evenness.
- Importantly, the theorem also applies to contexts with function types. If we have $f : \text{Int} \rightarrow \text{Int}$, we are forced to feed in a function from $\mathcal{P}\{\{\text{Int} \rightarrow \text{Int}\}\}_\rho$, which are exactly even preserving functions!

Conclusion





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Free Variables*

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- $FV(f(M_1, \dots, M_n)) = \bigcup_{i=1}^n FV(M_i)$
- $FV(\lambda(x : \alpha).M) = FV(M) \setminus \{x\}$
- $FV(MN) = FV(M) \cup FV(N)$
- $FV(\langle M_1, M_2 \rangle) = FV(M_1) \cup FV(M_2)$
- $FV(\pi_1(M)) = FV(M)$
- $FV(\pi_2(M)) = FV(M)$

For example, $FV(\lambda(x : \alpha).y x) = \{y\}$.

Capture-avoiding Substitution*

Substitution of N for x in M in a capture-avoiding way, denoted $M[x := N]$, is defined recursively as follows:

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- $\langle \rangle[x := N] = \langle \rangle$
- $c[x := N] = c$
- $f(M_1, \dots, M_n)[x := N] = f(M_1[x := N], \dots, M_n[x := N])$
- $(MP)[x := N] = (M[x := N]) (P[x := N])$
- $\langle M_1, M_2 \rangle[x := N] = \langle M_1[x := N], M_2[x := N] \rangle$
- $\pi_1(M)[x := N] = \pi_1(M[x := N])$
- $\pi_2(M)[x := N] = \pi_2(M[x := N])$
- ...

Capture-avoiding Substitution (continued)*

Most importantly, we have the rule for abstraction:

$$\bullet (\lambda(y : \alpha).M)[x := N] = \begin{cases} \lambda(y : \alpha).M[x := N] & \text{if } y \neq x \text{ and } y \notin \text{FV}(N) \\ \lambda(z : \alpha).M[y := z][x := N] & \text{if } y = x \text{ or } y \in \text{FV}(N) \end{cases}$$

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Here are two examples:

- $(\lambda(y : \alpha).x)[x := z] = \lambda(y : \alpha).z$
- $(\lambda(y : \alpha).y x)[x := y] = \lambda(z : \alpha).z y$

An equation-in-context is expressed as:

$$\Gamma \vdash M = N : \alpha$$

The judgments means that in the type context Γ , the terms M and N are considered equal and both have type α .

Equations-in-contexts allow us to perform equational reasoning while respecting the types assigned to the variables involved.

Equational Reasoning Rules*

$$\frac{\Gamma \vdash M : \alpha}{\Gamma \vdash M = M : \alpha} \text{ (Refl)}$$

$$\frac{\Gamma \vdash M = N : \alpha}{\Gamma \vdash N = M : \alpha} \text{ (Sym)}$$

$$\frac{\Gamma \vdash M = N : \alpha \quad \Gamma \vdash N = P : \alpha}{\Gamma \vdash M = P : \alpha} \text{ (Trans)}$$

Weakening and Substitution Rules*

$$\frac{\Gamma \vdash M = N : \alpha}{\Gamma, x : \beta \vdash M = N : \alpha} \text{ (Weak)}$$

$$\frac{\Gamma, x : \beta \vdash M = N : \alpha \quad \Gamma \vdash P : \beta}{\Gamma \vdash M[x := P] = N[x := P] : \alpha} \text{ (Subs)}$$

Rules for Unit and Binary Products*

$$\frac{\Gamma \vdash M : 1}{\Gamma \vdash M = \langle \rangle : 1} \text{ (Unit-Eq)}$$

$$\frac{\Gamma \vdash M_1 : \alpha_1 \quad \Gamma \vdash M_2 : \alpha_2}{\Gamma \vdash \pi_1(\langle M_1, M_2 \rangle) = M_1 : \alpha_1} \text{ (Proj1)}$$

$$\frac{\Gamma \vdash M_1 : \alpha_1 \quad \Gamma \vdash M_2 : \alpha_2}{\Gamma \vdash \pi_2(\langle M_1, M_2 \rangle) = M_2 : \alpha_2} \text{ (Proj2)}$$

$$\frac{\Gamma \vdash P : \alpha_1 \times \alpha_2}{\Gamma \vdash \langle \pi_1(P), \pi_2(P) \rangle = P : \alpha_1 \times \alpha_2} \text{ (\eta-Prod)}$$

Rules for Functions*

$$\frac{\Gamma, x : \alpha \vdash M : \beta \quad \Gamma \vdash N : \alpha}{\Gamma \vdash (\lambda(x : \alpha).M)N = M[x := N] : \beta} \quad (\beta\text{-Eq})$$

$$\frac{x \notin \text{FV}(M)}{\Gamma \vdash \lambda(x : \alpha).(Mx) = M : \alpha \rightarrow \beta} \quad (\eta\text{-Eq})$$

$$\frac{\Gamma, x : \alpha \vdash M = N : \beta}{\Gamma \vdash \lambda(x : \alpha).M = \lambda(x : \alpha).N : \alpha \rightarrow \beta} \quad (\lambda\text{-Cong})$$

Axioms

We also want axioms in order to reason about elements of our signature Σ . An axiom is a pair of terms $(\Gamma \vdash M : \alpha, \Gamma \vdash N : \alpha)$ of terms of the same type in the same context. For a set of axioms Ω , we have the rule

$$\frac{(\Gamma \vdash M : \alpha, \Gamma \vdash N : \alpha) \in \Omega}{\Gamma \vdash M = N : \alpha} \text{ (Axiom)}$$

Note that it is possible to prove everything equals everything else if you choose your axioms wrong!

Theorem

Let Ω be a set of axioms in a signature Σ . Let ρ and σ be assignments such that, for all $(\Gamma \vdash M : \alpha, \Gamma \vdash N : \alpha) \in \Omega$, we have $\llbracket \Gamma \vdash M : \alpha \rrbracket_{\rho, \sigma} = \llbracket \Gamma \vdash N : \alpha \rrbracket_{\rho, \sigma}$. Then, for all valid equations $\Gamma \vdash M = N : \alpha$ we have

$$\llbracket \Gamma \vdash M : \alpha \rrbracket_{\rho, \sigma} = \llbracket \Gamma \vdash N : \alpha \rrbracket_{\rho, \sigma}$$

Proof.

By induction on the proof of $\Gamma \vdash M = N : \alpha$. □

Thus, if we respect the axioms, then equivalent terms have equal denotational semantics. This is the minimum we expect from denotational semantics.